



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20461

RQ-3

January 3, 2002

Carol Beatty, Treasurer
American Academy of Ophthalmology Inc.
Political Action Committee ("OPHTHPAC")
655 Beach Street
San Francisco, CA 94109

Identification Number: C00196246

Reference: Amended February Monthly Report (1/1/01-1/31/01), dated 6/11/01,
Amended March Monthly Report (2/1/01-2/28/01), dated 6/11/01,
Amended April Monthly Report (3/1/01-3/31/01), dated 6/11/01,
~~Amended May Monthly Report (4/1/01-4/30/01), dated 6/11/01 and June~~
Monthly Report (5/1/01-5/31/01)

Dear Ms. Beatty:

This letter is to inform you that as of January 2, 2002, the Commission has not received your response to our request for additional information, dated December 12, 2001. This notice requests information essential to full public disclosure of your federal election campaign finances. To ensure compliance with the provisions of the Federal Election Campaign Act (the Act), please respond to this request (copy enclosed).

An adequate response must be received at the Commission by January 23, 2002. Adequate responses received on or before this date will be taken into consideration in determining whether audit action will be initiated. **Requests for extensions of time in which to respond will not be considered.** Failure to provide an adequate response by this date may result in an audit of the committee. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action.

Electronic filers must file amendments in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter, please contact Colleen

Manning on our toll-free number (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division) or our local number (202) 694-1130.

Sincerely,

A handwritten signature in dark ink, appearing to read "John D. Gibson", written over a horizontal line.

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosure



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

Carol Beatty, Treasurer
American Academy of Ophthalmology Inc.
Political Action Committee ("OPHTHPAC")
655 Beach Street
San Francisco, CA 94109

DEC 12 2001

Identification Number: C00196246

Reference: Amended February Monthly Report (1/1/01-1/31/01), dated 6/11/01,
Amended March Monthly Report (2/1/01-2/28/01), dated 6/11/01,
Amended April Monthly Report (3/1/01-3/31/01), dated 6/11/01,
Amended May Monthly Report (4/1/01-4/30/01), dated 6/11/01 and
June Monthly Report (5/1/01-5/31/01)

Dear Ms. Beatty:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Commission Regulations require that a committee disclose the identification of all individuals who contribute in excess of \$200 in a calendar year. (11 CFR §104.3(a)(4)(i)) Identification for an individual is defined as the full name, mailing address, occupation and name of employer. (11 CFR §100.12) Your report discloses contributions from individuals for which the identification is not complete.

You must provide the missing information, or if you are unable to do so, you must demonstrate that "best efforts" have been used to obtain the information. To establish "best efforts," you must provide the Commission with a detailed description of your procedures for requesting the information. Establishing "best efforts" is a three-fold process.

First, your original solicitation must include a clear and conspicuous request for the contributor information and must inform the contributor of the requirements of federal law for the reporting of such information. (11 CFR §104.7(b)(1))

Second, if the information is not provided, you must make one follow-up, stand alone effort to obtain this information, regardless of whether the contribution(s) was solicited or not. This effort must occur no later than 30 days after receipt of the contribution and may be in the form of a written request or an oral request documented in writing. (11 CFR § 104.7(b)(2)) The request must:

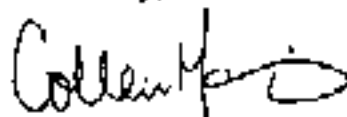
- clearly ask for the missing information, without soliciting a contribution;
- inform the contributor of the requirements of federal law for the reporting of such information, and
- if the request is written, include a pre-addressed post card or return envelope.

Third, if you receive contributor information after the contribution(s) has been reported, you shall either a) file with your next regularly scheduled report, an amended memo Schedule A listing all the contributions for which additional information was received; or b) file on or before your next regularly scheduled reporting date, amendments to the report(s) originally disclosing the contribution(s). (11 CFR §104.7(b)(4))

Please provide the missing information or a detailed description of your procedures for requesting the information. For more information on demonstrating "best efforts," please refer to the Campaign Guide.

A response or amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. Electronic filers must file amendments in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division). My local number is (202) 694-1130.

Sincerely,



Colleen Manning
Reports Analyst
Reports Analysis Division